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09/741,956LIST OF DOCUMENTS CITED BY APPLICANT  
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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Sub</i>	AA	6,025,686	05/18/2000	Fernandez et al.			
<i>Sub</i>	AB	6,173,345	01/09/2001	William A. Stevens			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>Sub</i>	BA	WO 98/53415	11/26/1998	Ouimet et al.				

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>Sub</i>	CA		"PCT International Search Report", Application Number PCT/US02/36710, mailed July 21, 2003.					
<i>Sub</i>	CB		YOEMAN, JOHN CORNELIUS JR., "The Optimal Offering Price for Underwritten Securities", 1993, Volume 55/01-A of Dissertation Abstracts International, Page 4743 (Abstract Only).					

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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